

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/910090
 Filing Date 07/20/2001
 First Named Inventor Mehryar Mohri
 Group Art Unit 2641
 Examiner Name
 Attorney Docket Number 2001-0226A

Total Number of Pages in this Submission 112

Enclosures (check all that apply)

- ☒ Fee Transmittal Form
☐ Fee Attached
☐ Amendment / Response
☐ After Final
☐ Affidavits / Declaration(s)
☐ Petition for Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☒ Response to Missing Parts under 37 CFR 1.52 or 1.53
☐ Response to Missing Parts/Incomplete Application

- ☒ Assignment & Recordation Cover Sheet
☐ Drawing(s) & Letter to Official Draftsman
☐ Licensing-related Papers
☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
☐ Petition to Convert a Provisional Application
☐ Power of Attorney, Revocation Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund

- ☐ After Allowance Communication to Group
☐ Appeal Communications to Board of Appeals and Interferences
☐ Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Return Receipt Postcard
☒ Additional enclosure(s) (please identify below)

Declaration and copy of postcard and fee transmittal that was submitted 07/20/2001

Remarks

CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME	Samuel H. Dworetsky		
ADDRESS	AT&T CORP. P.O. Box 4110		
CITY	Middletown	STATE	New Jersey
COUNTRY	United States of America	ZIP CODE	07748-4110
		FAX	732-368-6932

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Thomas M. Isaacson	Reg. #	44166
TELEPHONE	410-257-5485		
SIGNATURE	<i>Thomas M. Isaacson</i>	DATE	9/27/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 9-28-01

Type or Printed Name	Nancy C. Marcovici		
Signature	<i>Nancy C. Marcovici</i>	Date	9-28-01

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

FEE TRANSMITTAL

Patent Fees are subject to annual revision.

Completion of Known

Applicant Number 09/910090
 Filing Date 07/20/2001
 First Named Inventor Mehryar Mohri
 Examiner Name
 Group/Art Unit 2641
 Attorney Docket No. 2001-0226A

TOTAL AMOUNT
OF PAYMENT \$170

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745
 Deposit Account Name AT&T CORP.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
101	710	Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee	
106	320	Design Filing Fee	
108	710	Reissue Filing Fee	
114	150	Provisional Filing Fee	

SUBTOTAL (1) 0

2. CLAIMS

☐ Filing Under 37CFR 1.53 (b)
☐ CPA Under 37CFR 1.53 (d)
☐ Amendment

Extra Claims

Fee from below

Fee Paid

Total - 20 = 0 x 18 = 0

Ind. - 3 = 0 x 80 = 0

Multiple Dependent Claims

Large Fee Code	Entity Fee(\$)	Fee Description
103	18	Claims in excess of 20
102	80	Independent Claims in excess of 3
104	270	Multiple Dependent Claims
109	80	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	130
147	2520	For filing a request for reexamination	
112*	920	Requesting publication of SIR prior to Examiner action	
113*	1840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	390	Extension for reply within second month	
117	890	Extension for reply within third month	
118	1390	Extension for reply within fourth month	
128	1890	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1240	Petition to revive - unintentional	
142	1240	Utility issue fee (or reissue)	
143	440	Design issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	40
146	710	Filing a submission after final rejection(37 CFR 1.129(a))	
149	710	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL(3) 170

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name Thomas M. Isaacson

Reg. Number 44166

Signature

Date

9/27/01

Deposit Account User ID

FEE TRANSMITTAL

Patent Fees are subject to annual revision.

Complete if Known

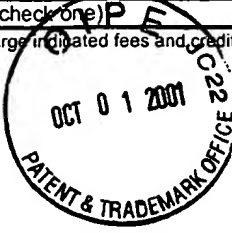
TOTAL AMOUNT OF PAYMENT \$1,290		Application Number	
		Filing Date	07/20/2001
		First Named Inventor	Mehryar Mohri
		Examiner Name	
		Group/Art Unit	
		Attorney Docket No.	2001-0226A

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745
 Deposit Account Name AT&T CORP.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

**FEE CALCULATION****1. FILING FEE**

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101	710	Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee	710
106	320	Design Filing Fee	
108	710	Reissue Filing Fee	
114	150	Provisional Filing Fee	

SUBTOTAL (1) 710

2. CLAIMS ☒ Filing Under 37CFR 1.53 (b)
☐ CPA Under 37CFR 1.53 (d).
☐ Amendment

Extra Claims		Fee from below	Fee Paid
Total 30	- 20 =	10 x 18 =	180
Ind. 8	- 3 =	5 x 80 =	400
Multiple Dependent Claims			0

Large Fee Code	Entity Fee(\$)	Fee Description
103	18	Claims in excess of 20
102	80	Independent Claims in excess of 3
104	270	Multiple Dependent Claims
109	80	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) 580**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet	
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149	710	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) 0**SUBMITTED BY**

Typed or Printed Name Thomas M. Isaacson

Complete (if applicable)

Reg. Number 44166

Signature

Date

07/20/2001

Deposit Account User ID



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Applicant(s): Mehryar Mohri
Attorney Docket No.: 2001-0226A
Application No.: 09/910090
Filing Date: 07/20/2001
Examiner Name:
Group Art Unit: 2641
Title: A System And Method Of Epsilon Removal Of Weighted Automata And Transducers

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Box: Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

Enclosed is the Declaration and Power of Attorney relating to the above-identified application.

Please charge **AT&T Corp. Deposit Account No. 01-2745** in the amount of \$130.00 to cover the filing fee surcharge. A duplicate copy of this letter is enclosed. In the event of any non-payment or improper payment of a required fee, the Assistant Commissioner is authorized to charge or to credit **AT&T Corp. Deposit Account No. 01-2745** as required to correct the error.

Please note that the fee for 10 claims over 20 was paid with our fee transmittal of 07/20/2001. We are enclosing a copy of the transmittal fee along with a copy of the postcard.

A copy of PTO-1533, Notice to File Missing Parts of Application, is also enclosed.

Date: 9/27/01

By: *Thomas M. Isaacson*
Thomas M. Isaacson
Attorney for Applicant(s)
Reg. No.: 44166
T: 410-257-5485
F: 732-368-6932
AT&T CORP.
P.O. Box 4110
Middletown, New Jersey 07748-4110



Attorney's Initials: *TMI*
COMMISSIONER FOR PATENTS, BOX

Docket No. *2001-0226 A*
Applicant *Mehryar Mohri*

☒ Express Mail No. *EL 758416648US*
☒ Certificate of Mailing

Application No.
Filing Date *July 20, 2001*

☒ Specification # of Pages *32*
☒ Claims # of Pages *8*
☒ Abstract # of Pages *1*
☒ Drawings # of Sheets *7* ☐ Formal

☐ Response to O.A. (Type)
☐ Response to Notice to File Missing Parts
☐ Amendment
☐ Notice of Appeal
☐ Appeal Brief
☒ Other *Non-Publication Request*

11050 U.S. PTO
09/910090
07/20/01

☐ PCT Request Form
☐ PCT Demand Form
☐ PCT Invitation to Correct

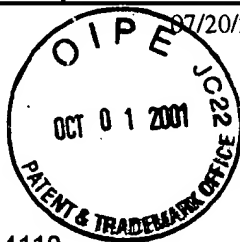
☐ Assoc./Power of Attorney
☐ Assignment
☐ Recordation Form Cover Sheet
☐ IDS (Information Disclosure Statement)
☐ Oath and Declaration
☐ PTO 1449 Form with references
☐ Preliminary Amendment
☒ Transmittal Letter ☒ Fee Transmittal Form
☐ Issue Fee Transmittal
☐ Letter to Official Draftsman
☐ Extension of Time



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,090	09/20/2001	Mehryar Mohri	2001-0226A



Samuel H. Dworetsky
AT&T CORP.
P.O. Box 4110
Middletown, NJ 07748-4110

CONFIRMATION NO. 2371

FORMALITIES LETTER



OC00000006535395

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$90.
 - \$90 for 10 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 220.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/03/2001 SHINASS1 00000014 012745 09910090

01 FC:105 130.00 CH

~~09/10/2001 SHINASS1 00000014 012745 09910090~~
~~07/25/2001 SHINASS1 00000014 012745 09910090~~

02 FC:102 400.00 CH